



U.S. Food and Drug Administration  
Protecting and Promoting Public Health



# FDA Update

Alberto Gutierrez, Ph.D.

Food and Drug Administration

Office of *In Vitro* Diagnostics and Radiological Health (OIR)

November 20, 2015

IVD Roundtable

White Oak



# Summary

- Organizational Update
- Presidential Initiatives
- Approvals and Authorizations
- Guidances
- Workshops and Panels



# Organizational Information

- OIR – approx. 280
- New Reviewers
- New Program Support
- Some Changes in Management
- Personalized Medicine/LDT Policy Enhanced



# OIR Organizational Update

**Director**  
Alberto Gutierrez, Ph.D.

**Deputy Director for New Product Evaluation**  
Donald St. Pierre

**Deputy Director for Patient Safety and Product Quality**  
James L. Woods

**Deputy Director for Radiological Health**  
Mary S. Pastel, Sc.D.

**Deputy Director for Personalized Medicine and Molecular Genetics**  
Elizabeth A. Mansfield, Ph.D.

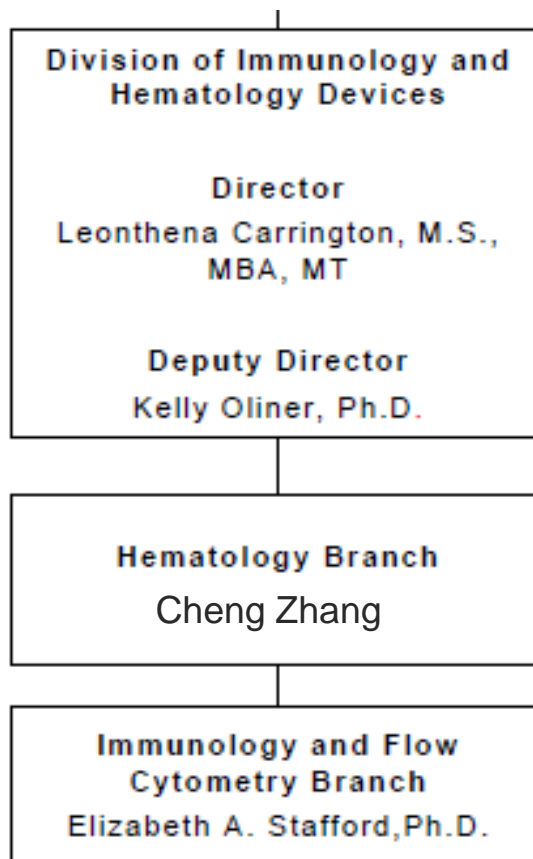
**Chief Medical Officer**  
Robert Becker, M.D.

**Chief Medical Officer for Radiological Health**  
Donald L. Miller, M.D.

**Secretary**  
Christine Kellerman

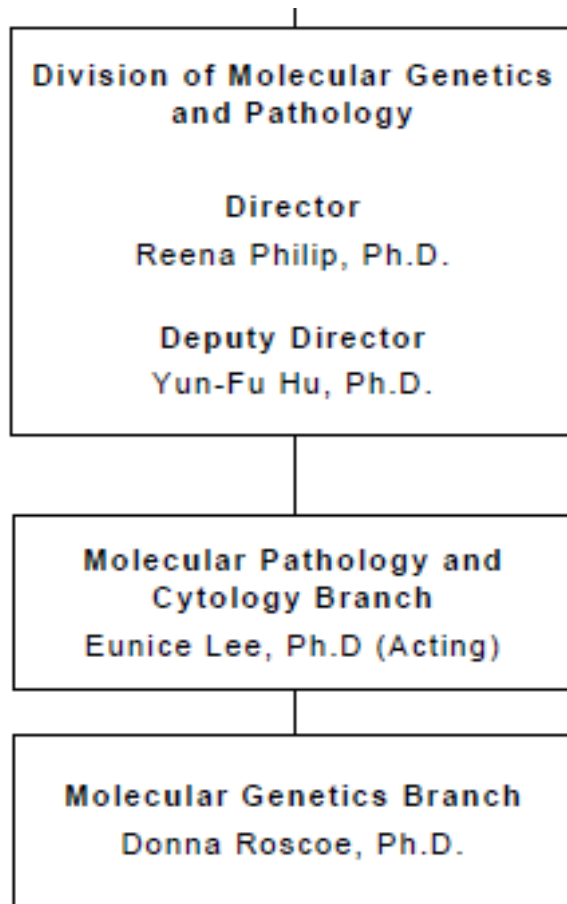


# DIHD



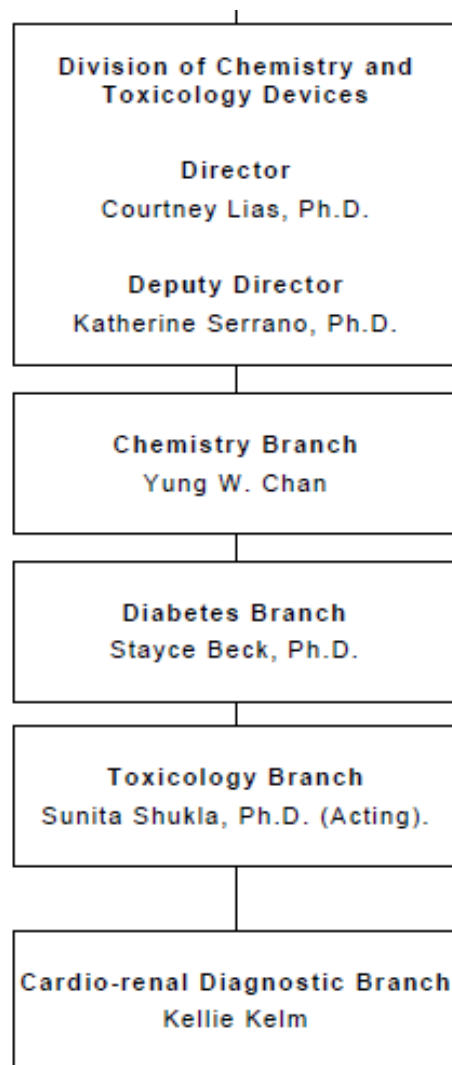


# DMGP



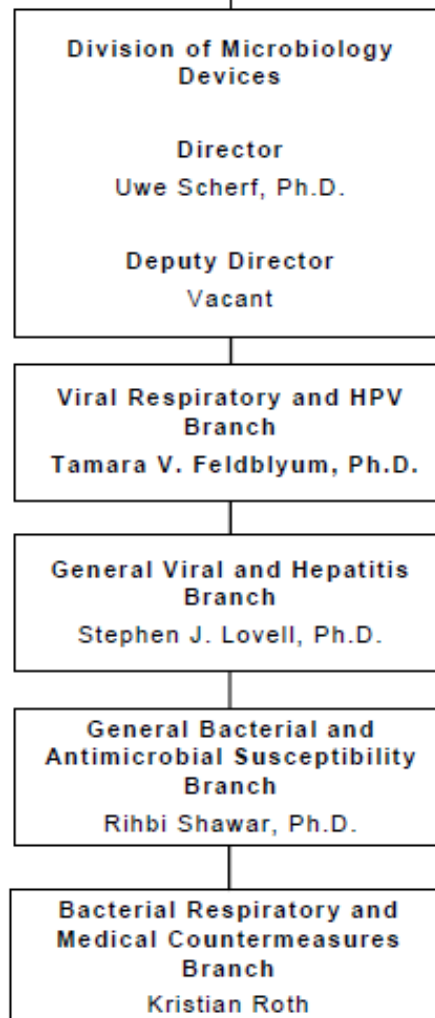


# DCTD





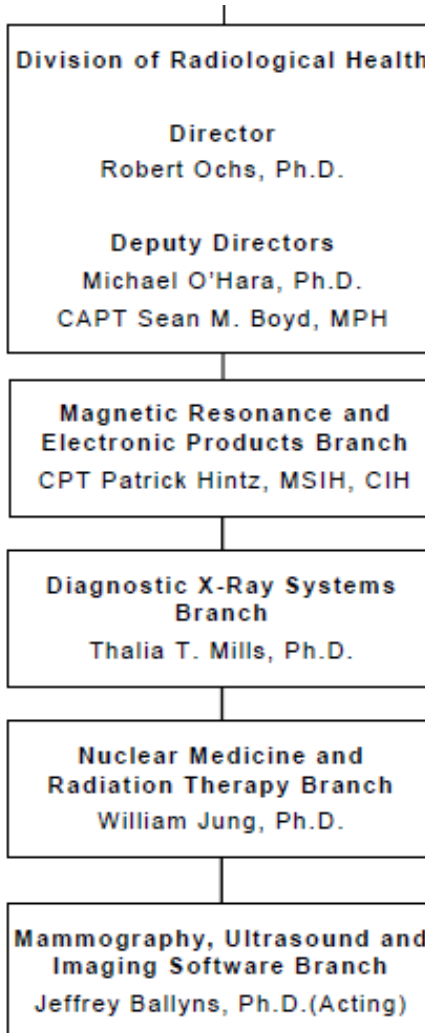
# DMD







# DRH





# DMQS



# DPOM

- Program Management Team:
  - Administrative and program management operations
  - Consists of management analysts, a program support specialist, and a Secretary
- Scientific Program Team:
  - Analogous to ODE's regulatory advisors, some front office staff, and program operations staff (510(k), PMA, IDE, Q-sub, CLIA etc.)
  - Supports MDUFA, and LDT efforts
- Digital Health Team:
  - Digital Health policy and operations support to CDRH.



# DPOM

Duffy Warren, Director		
Debra Cooper, Program Management Officer	Brendan O'Leary, Deputy Director	
Program Management Team	Scientific Program Team	Digital Health Team
		Cathy Oliveri, Digital Health Team Leader
Nancy (Naziha) Hanna, Program Specialist	Sara Aguel, Policy Analyst (IT Lead)	Atiq Chowdhury, Policy Analyst (On detail to DPOM)
Renita Hoard, Management Analyst (Timekeeping, Purchasing, and Property)	Elaine Blyskun, Policy Analyst (On Detail to DPOM, IDE and Q-Submission Lead, Postmarket Process Improvement)	Nicky (John) Grimes, Policy Analyst
Katy Klyushina, Management Analyst (Budget)	Avis Danishefsky, Policy Analyst (PMA Lead)	Andy (Andrew) Grove, Policy Analyst (IVDs, Instrumentation)
Wanda Myers, Management Analyst (Human Resources)	Daniel Dill, Policy Analyst (Postmarket and Analytics)	Kylie Haskins (On detail to DPOM)
Waleska Rodriguez Gonzalez, Management Analyst (Human Resources)	Lisa (Elizabeth) Fife, Management Analyst (510(k) Program)	Anne Hurley, Technical Writer / Editor
Debbie Schonemann, Management Analyst (Travel and Training)	Jerry Logue, Policy Analyst (IT, Process Improvement, Analytics)	Dharmesh Patel, Policy Analyst (Cybersecurity)
Tina Smith, Lead Management Analyst (Budget)	Toby Lowe, Policy Analyst (MDUFA IV, LDT)	Kenneth Randle, Management Analyst (Project Management)



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Denise Townsend, Management Analyst (Human Resources)	Nick Pastelak, Management Analyst (DCC/ Radiological Health, Process Improvement, Training)	Vacant, Policy Analyst
Allen Webb, Management Analyst (Budget, Human Resources)	Rob Sauer, Policy Analyst (Device Determinations, Radiological Health, Digital Health)	Vacant, Policy Analyst
Shantel Wright-Hines, Secretary	Fatemeh Razjouyan, Policy Analyst (510(k) Program)	
Vacant, Management Analyst	Victoria Scharf, Consumer Safety Technician (PMA, CLIA)	
	Peter Tobin, Commissioner's Fellow (CLIA Lead, Process Improvement)	
	Kelly Wilkicki, Policy Analyst (On detail to OCD, DPOM Third Party Program Lead)	
	Markus Yap, Policy Analyst (Process Improvement)	
	Vacant, Policy Analyst (Radiological Health)	

# Medical Countermeasures Initiative (MCMi)

- 2010- FDA launches MCMi: to identify and resolve regulatory challenges to MCM development- (drug/vaccine/device/diagnostics)
- 2013- Pandemic and All-Hazards Preparedness Reauthorization Act (PAHPRA): new legal authorities for FDA to support preparedness and response efforts
- Emergency Use Authorization (EUA): Rapid interactive FDA review process- 1st=2009 H1N1



# MCM Diagnostic 510(k)s - 2014-15

- CDC PCR *B. anthracis* detection cleared
- Pandemic Influenza: 3 new and 14 modifications to existing tests cleared
- Several bio-threat diagnostic assays, single and multiplex in the pipeline
- Monthly discussions held with CDC (LRN) and DoD



# Emergency Use Authorizations - 2014-2015

- H7N9 Avian Influenza- 2 assays authorized
- MERS-CoV-asymptomatic contacts added to intended use. Stakeholder Workshop 4/15. 2 assays authorized
- Ebola Zaire - 10 diagnostic assays (commercial & non-commercial –CDC/DoD) authorized – “presumptive detection of ebola nucleic acid or antigen”. WHO/FDA collaborative reviews
- Enterovirus D68- emergency declared 3/15, one assay authorized





# National Action Plan for Combating Antibiotic- Resistant Bacteria (CARB)

- Streamline regulatory processes for updating (breakpoints) and clearing new AST devices
- CDC/FDA developing well characterized, publically available microbial resistance strain panel for anti-microbial resistance Dx and Tx developers
- Develop and maintain sequence data base of resistant pathogens (ARGOS collaboration)



# National Action Plan for Combating Antibiotic- Resistant Bacteria (CARB)

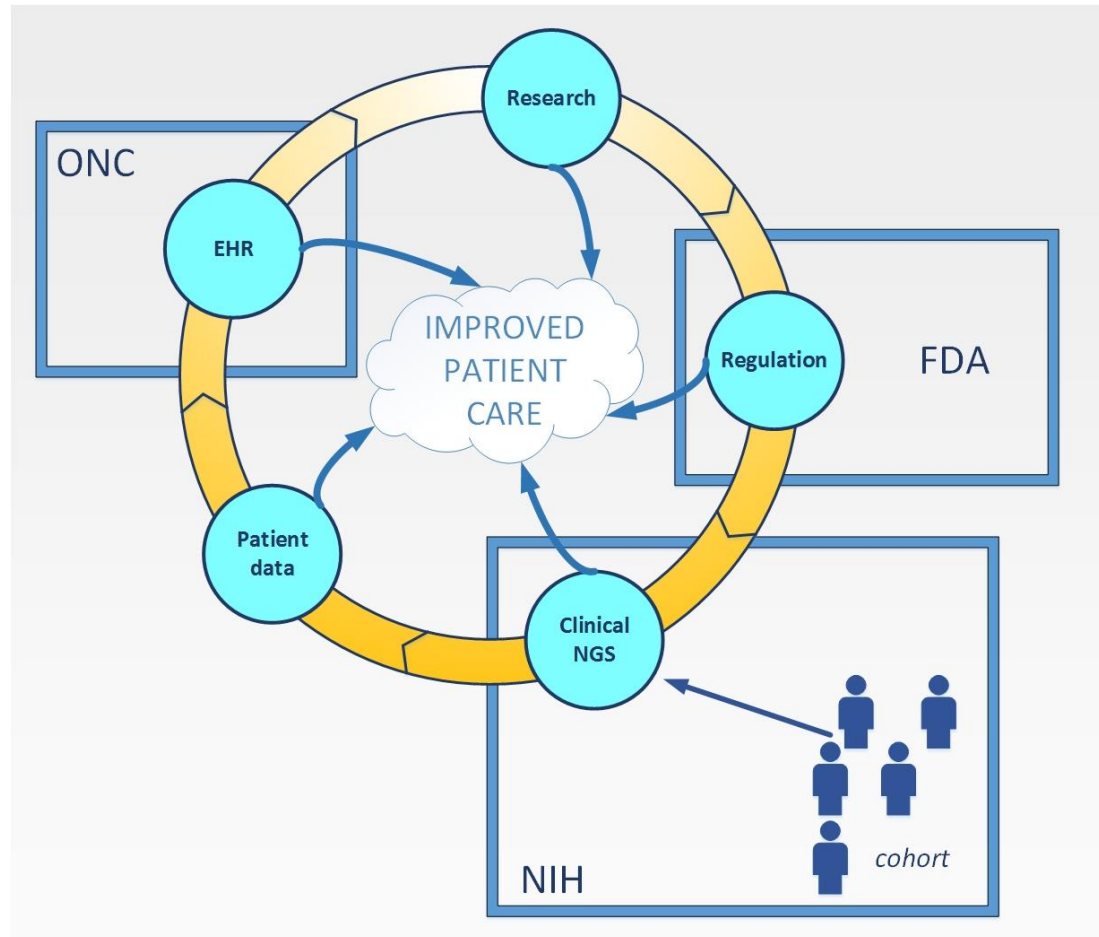
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# The President's Precision Medicine Initiative (PMI)

*To enable a new era of medicine through research and technology that empowers patients, researchers, and providers to work together toward development of individualized treatments.*



# Precision Medicine Initiative



# PMA Approvals IVDs

- Roche's cobas® KRAS Mutation Test
  - aid in the identification of CRC patients for whom treatment with Erbitux® (cetuximab) or with Vectibix® (panitumumab) may be indicated based on a no mutation detected result.
- Gastric Emptying Breath Test (GEBT)
  - for use in the measurement of the rate of gastric emptying of solids and as an aid in the diagnosis of delayed gastric emptying (gastroparesis) in adult humans who are symptomatic for gastroparesis.



# PMA Approvals IVDs

- Elecsys® Anti-HCV II Immunoassay and Elecsys® PreciControl Anti-HCV
  - aid in the presumptive diagnosis of HCV infection in persons with signs and symptoms of hepatitis and in persons at risk for hepatitis C infection.
- VENTANA ALK (D5F3) CDx Assay
  - aid in identifying patients eligible for treatment with XALKORI® (crizotinib).



# PMA Approvals IVDs

- Roche's cobas® HBV Test
  - aid in the management of patients with chronic hbv infection undergoing anti-viral therapy.
- Roche's cobas® HCV Test
  - aid in the diagnosis of hcv infection in the following populations: individuals with antibody evidence of hcv with evidence of liver disease, individuals suspected to be actively infected with hcv antibody evidence, and individuals at risk for hcv infection with antibodies to hcv.



# PMA Approvals IVDs

- **Dako's PD-L1 IHC 22C3 PHARMDX**
  - aid in identifying nsclc patients for treatment with keytruda (pembrolizumab).
- **Dako's PD-L1 IHC NIVOLUMAB PHARMDX**
  - associated with enhanced survival from opdivo (nivolumab).
- **Dexcom's G4 Platinum (Pediatric) Continuous Glucose Monitoring System**





# PMA Approvals IVDs

- T:SLIM G4 INSULIN PUMP WITH DEXCOM G4 PLATINUM CGM
  - Sensor augmented insulin pump.
- Roche's KRAS Mutation Test
  - aid in the identification of CRC patients for whom treatment with Erbitux® (cetuximab) or with Vectibix® (panitumumab) may be indicated based on a no mutation detected result.

## ***De Novo* Classifications**

- Abbott's Vysis EGR1 FISH Probe Kit
  - An early growth response 1 (EGR1) gene fluorescence in-situ hybridization (FISH) test system is intended to detect the EGR1 probe target on chromosome 5q in bone marrow specimens from patients with acute myeloid leukemia (AML) or myelodysplastic syndrome (MDS). The assay results are intended to be interpreted only by a qualified pathologist or cytogeneticist.

# ***De Novo Classifications***

- **Affymetric's CytoScan DX**

CytoScan® Dx Assay is a qualitative assay intended for the postnatal detection of copy number variations (CNV) in genomic DNA obtained from peripheral whole blood in patients referred for chromosomal testing based on clinical presentation.



## ***De novos***

- Dexcom's STUDIO on the Cloud Data Management Software
  - for use by both patients and healthcare professionals to assist people with diabetes and their healthcare professionals in the review, analysis, and evaluation of historical CGM data to support effective diabetes management.



## ***De novos***

- EnLite™ Neonatal TREC Kit
  - an aid in screening newborns for severe combined immunodeficiency disorder (SCID)



## ***De novos***

- 23andMe Personal Genome Service Carrier Screening Test for Bloom Syndrome



# ***De novos***

- NOVA View Automated Fluorescence Microscope

# ***De Novo* Classifications**

- BioFire's FilmArray  
Meningitis/Encephalitis (ME) Panel
  - cerebrospinal fluid (CSF) nucleic acid-based test for simultaneous detection of multiple pathogens that can cause central nervous system infections.





# CLIA Waivers by Application

- Alere's <sup>TM</sup> Influenza A & B
- Alere I Strep A
- Roche's cobas Liat System Influenza A/B
- Roche's cobas Liat System Strep A
- Therano's HSV-1 Assay



# Final Guidances

- Medical Device Data Systems, Medical Image Storage Devices, and Medical Image Communications Devices
- Expedited Access for Premarket Approval and De Novo Medical Devices Intended for Unmet Medical Need for Life Threatening or Irreversibly Debilitating Diseases or Conditions
- Balancing Premarket and Postmarket Data Collection for Devices Subject to Premarket Approval



# Final Guidances

- Class II Special Controls Guideline: Multiplex Nucleic Acid Assay for Identification of Microorganisms and Resistance Markers from Positive Blood Cultures
- Content and Format for Abbreviated 510(k)s for Early Growth Response 1 (EGR1) Gene Fluorescence In-Situ Hybridization (FISH) Test System for Specimen Characterization Devices



# Draft Guidances

- Adaptive Designs for Medical Device Clinical Studies
- Technical Performance Assessment of Digital Pathology Whole Slide Imaging Devices
- Procedures for Meetings of the Medical Devices Advisory Committee
- Medical Device Accessories: Defining Accessories and Classification Pathway for New Accessory Types



# Draft Guidances

- Acceptance of Medical Device Clinical Data from Studies Conducted Outside the United States
- Leveraging Existing Clinical Data for Extrapolation to Pediatric Uses of Medical Devices
- Patient Preference Information Submission, Review in PMAs, HDE Applications, and De Novo Requests, and Inclusion in Device Labeling



# Draft Guidances

- Factors to Consider When Making Benefit-Risk Determinations for Medical Device Investigational Device Exemptions (IDEs)
- Unique Device Identification: Direct Marking of Devices



# Notable Meetings

- Workshop - 8th Annual Medical Device and Diagnostics Statistical Issues, Co-Sponsored by AdvaMed and FDA, April 29-30, 2015
- Public Workshop FDA/CDC/NLM Workshop on Promoting Semantic Interoperability of Laboratory Data, September 28, 2015
- Public Workshop - Non-Microbial Biomarkers of Infection for In Vitro Diagnostic Device Use, October 16, 2015



# Notable Meetings

- Public Workshop - In Vitro Diagnostic Testing for Direct Oral Anticoagulants, October 26, 2015
- Public Workshop - Use of Databases for Establishing the Clinical Relevance of Human Genetic Variants, November 13, 2015





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# Thanks