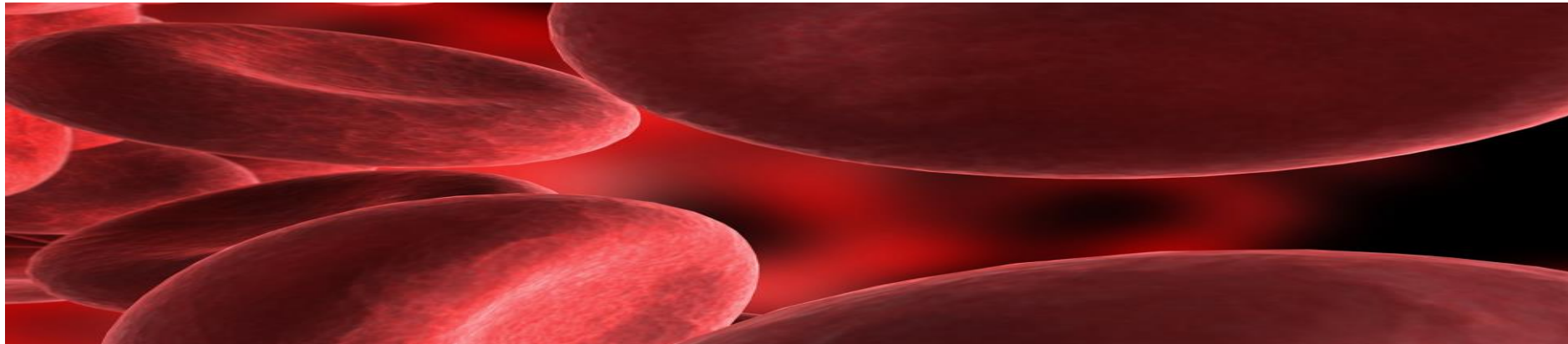

cobas[®] EGFR Mutation Test for Use with Plasma

2 December 2016

Roche Molecular Systems

Lesley Farrington



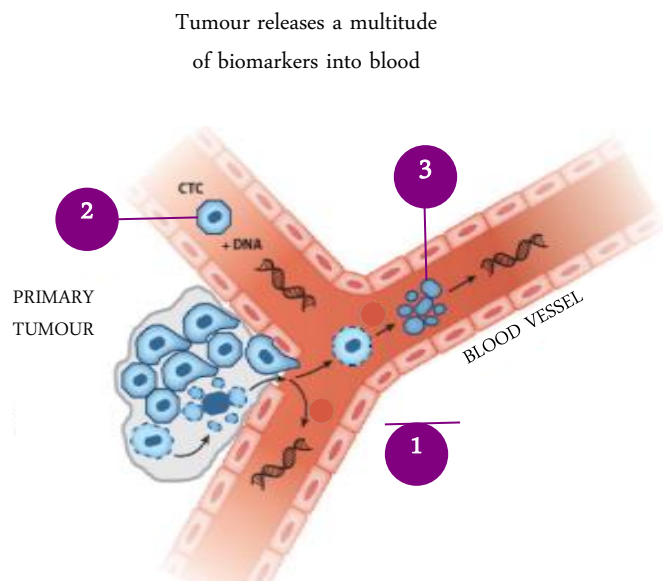
Liquid Biopsy

- *Tumours release proteins, nucleic acids and cells into blood*

NSCLC is the leading cause of cancer death in the US for men and women¹

Most patients present with stage IIIB or stage IV disease²

Liquid biopsies can provide real-time treatment prediction and resistance detection



Tumour biomarkers in blood

1. Cell-free DNA (cfDNA)
2. Circulating tumour cells (CTCs)
3. Exosomes³ & micro vesicles

¹ Source: WHO IARC Globocan in 2012 Cancer Facts & Figures, 2014

² Schrump et al. Non-small cell lung cancer. In: *Cancer: Principles and Practice of Oncology*, 7th ed. Philadelphia, PA: Lippincott Williams & Wilkins; 2005.

³ Exosomes = lipid vesicles containing protein and nucleic acid; found in both blood and urine

PMA Submission Timeline

Roche

- *~2 Years from initiating discussions with FDA to approval!*
- *Early collaboration with FDA*
- *4-sided meetings: CDER/CDRH/RMS/RX*

Mid-2014

First approach FDA
regarding plasma test



2014 Q3/Q4

2015 Q1/Q2

2015 Q3/Q4

2016 Q1/Q2

2016 Q3/Q4



Many Pre-Submissions
and FDA meetings



Aug-2015
First PMA module
submitted



Dec-2015
Final PMA module
submitted

June 2016
1st FDA Approval

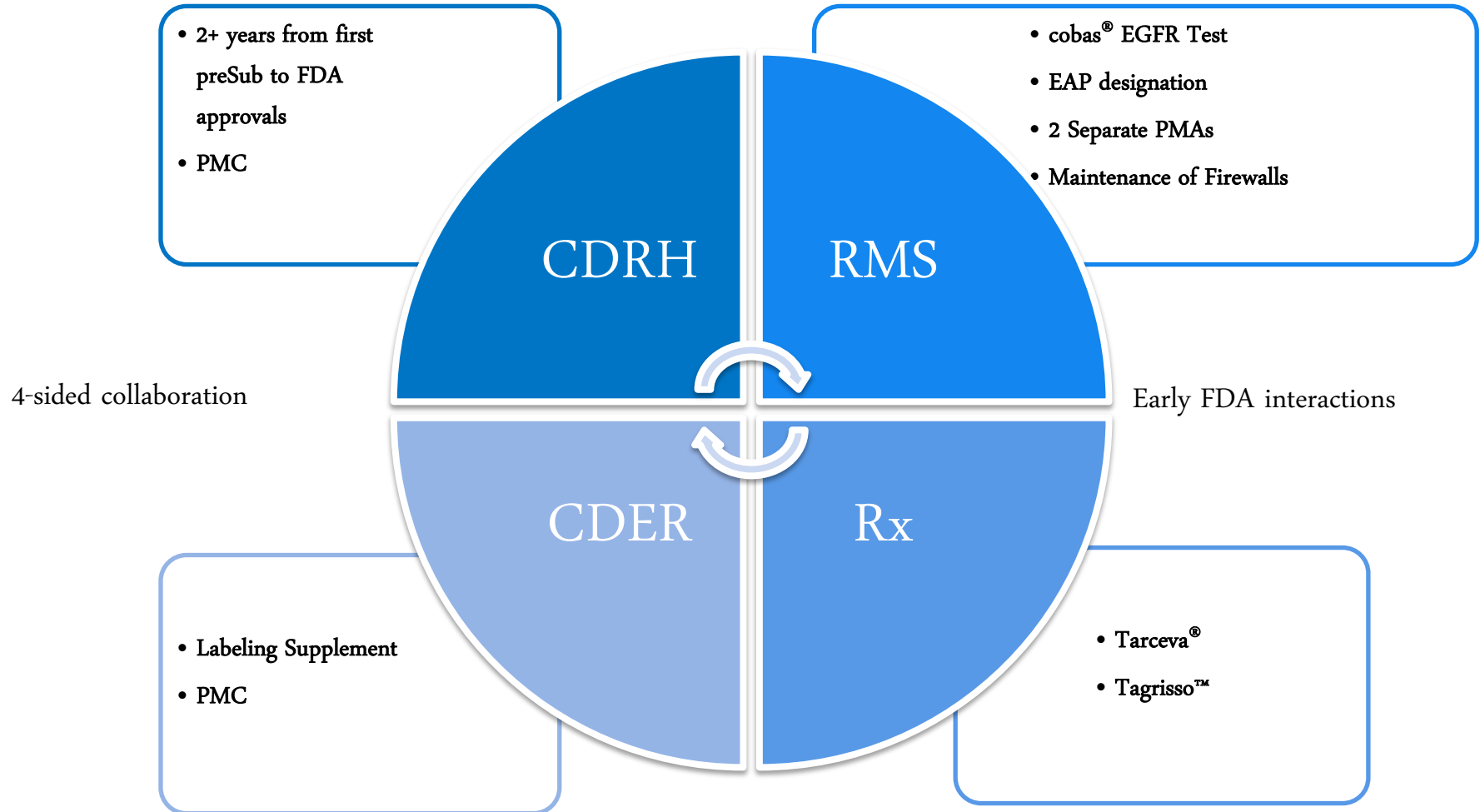
September 2016
2nd FDA Approval



APPROVAL

cobas[®] EGFR Test v2 for Use with Plasma

Roche



Roche

Clinical Claims

The **cobas®** EGFR Mutation Test v2 is a real-time PCR test for the qualitative detection of defined mutations of the epidermal growth factor receptor (EGFR) gene in non-small cell lung cancer (NSCLC) patients. **Defined EGFR mutations are detected using DNA isolated from formalin-fixed paraffin-embedded tumor tissue (FFPET) or circulating-free tumor DNA (cfDNA) from plasma derived from EDTA anti-coagulated peripheral whole blood.**

The test is indicated as a **companion diagnostic** to aid in selecting NSCLC patients for treatment with the targeted therapies listed in Table 1 below in accordance with the approved therapeutic product labeling:

Drug	FFPET	Plasma
TARCEVA® (erlotinib)	Exon 19 deletions and L858R	Exon 19 deletions and L858R
TAGRISSO™ (osimertinib)	T790M	T790M

Patients with positive **cobas®** EGFR Mutation Test v2 test results using plasma specimens for the presence of EGFR exon 19 deletions or L858R mutations are eligible for treatment with TARCEVA® (erlotinib). **Patients who are negative for these mutations by this test should be reflexed to routine biopsy and testing for EGFR mutations with the FFPET sample type.**

*The efficacy of TAGRISSO™ (osimertinib) has not been established in the EGFR T790M plasma-positive, tissue-negative or unknown population and clinical data for T790M plasma-positive patients are limited; therefore testing using plasma specimens is most appropriate for consideration in patients from whom a tumor biopsy cannot be obtained.

cobas® EGFR Mutation Test v2 Intended Use



Analytical Claims

Safety and efficacy have not been established for the following EGFR mutations also detected by the **cobas®** EGFR Mutation Test v2:

Drug	FFPET	Plasma
TARCEVA® (erlotinib)	G719X, exon 20 insertions, T790M, S768I and L861Q	G719X, exon 20 insertions, T790M, S768I and L861Q
TAGRISSO™ (osimertinib)	G719X, exon 19 deletions, L858R, exon 20 insertions, S768I, and L861Q	G719X, exon 19 deletions, L858R, exon 20 insertions, S768I, and L861Q



TARCEVA (erlotinib) Tablet for Oral Use

2 June 2016: Addition of Plasma Specific Language

2. Dosage and Administration

- 2.1: Selection of Patients with Metastatic NSCLC

Selected patients for the treatment of NSCLC with TARCEVA based on the presence of EGFR Exon 19 deletions or Exon 21 (L858R) substitution mutations, in tumor or **plasma** specimens [See *Clinical Studies (14.1, 14.2)*]. ***If these mutations are not detected in a plasma specimen, test tumor tissue if available.*** Information on FDA approved tests for EGFR mutations in NSCLC is available at <http://www.FDA.gov/CompanionDiagnostics>.

Expedited Access for Premarket Approval and De Novo Medical Devices
Intended for Unmet Medical Need for Life Threatening or Irreversibly
Debilitating Diseases or Conditions

Guidance for Industry and Food and Drug Administration Staff

Document issued on April 13, 2015.

The draft of this document was issued on April 23, 2014.

cobas[®] EGFR Test v2 for Use with Plasma



EAP Designation

The following three criteria should be met.

- The device is intended to treat or diagnose a life-threatening or irreversibly debilitating disease or condition
- The device meets at least one of the following criteria for addressing an unmet need:
 - No appropriate alternative treatment or means of diagnosis exists.
 - The device represents a breakthrough technology that provides a clinically meaningful advantage over existing legally marketed technology
 - The availability of the device is in the best interest of patients (e.g., addresses an unmet medical need).
- The sponsor submits an acceptable draft Data Development Plan.



EAP Designation



Request for designation as an EAP Device



Agreement on a Data Development Plan



Review of a PMA or de novo request for an EAP Device



Postmarket data collection an evaluation

A few points to consider when developing a plasma-based test for tumor mutations



Customer and Product Requirements

- Physician and patient needs – Fast TAT, high accuracy
- Analytical and Clinical Sensitivity and Specificity required to be clinically useful
- Robust, consistent, reliable, cost-effective

Regulatory requirements

- Collaboration with FDA for novel diagnostic indication and claims, as well as Global Registration and Use
- Specimen acquisition for Development and Technical Performance Verification – Adequate real world sample availability?
Alternatives?
- Reference Methods – How is truth established with regard to tissue, and plasma results?

Plasma and Tissue Specimen Acquisition/Use



Impractical to Use Clinical Specimens for all Development and TPV Studies

Practical considerations

- Prospective collection and time to screen samples = very expensive!
- Large volumes needed for non-clinical performance study panels
- Low mutation prevalence and low cfDNA concentrations when present
 - Purchased ~1000 specimens (commercial vendors) to find ~80 specimens with detectable mutations
 - Most had EGFR mutation cfDNA concentrations < 200 copies/mL

Ethical considerations

- HIPAA, IRB, ICF
- Patient population is very ill
- IRB typically permits 30 – 40mL whole blood to be drawn (15 – 20mL plasma)

Drives need for constructing appropriate contrived samples to evaluate analytical test performance



Non-Clinical Performance Studies

Clinical and Contrived Plasma Samples

Contrived sample performance directly traceable to clinical specimen results

- Determined ***Limit of Detection (LoD)*** with contrived samples consisting of sheared cell line DNA containing EGFR mutations diluted in healthy donor (HD) K2-EDTA plasma
- Demonstrated ***commutability***: sheared cell line DNA diluted in HD plasma or NSCLC K2-EDTA plasma yield equivalent results at concentrations near LoD
- Confirmed LoD in clinical setting using NSCLC plasma panels

LoD Confirmation in Clinical Setting

Study Objective: Confirm the LoD of EGFR mutations in NSCLC patient plasma

- **Panel Design:**
 - NSCLC clinical specimens with known EGFR mutations diluted into NSCLC EGFR *wild-type* plasma
 - **11 member panel (1X LoD & 2X LoD):**
 - Three most prevalent exon 19 deletion mutations
 - One L858R mutation sample
 - One T790M mutation sample
 - One *non-mutant EGFR normal* sample
- **Test plan**
 - Three testing sites (two external and one internal, two operators per site),
 - Three reagent lots (two non-identical lots per site)
 - Two non-consecutive testing days
 - Two replicates per panel member per run

Clinical Reproducibility Study

Plasma and Contrived Specimens

- **Study Objective:** Evaluate the reproducibility for the detection of mutations in exons 18, 20, and 21 of the EGFR gene across the following factors:
 - 3 manufactured lots of reagents, 2 non-identical lots per site
 - 3 **cobas z** 480 instruments, 1 per site
 - 3 sites, 2 operators per site
 - 3 non-consecutive days
- **Specimen panel design:** 648 total replicates
 - **9 panel members**, 7 different mutations: exon 18 G719X; exon 20 T790M; exon 20 S768I; exon 20 insertion; exon 21 L861Q; 1 WT
 - For each mutation: **100 and 300 copies/ml**
 - Each panel member tested in **duplicate**

Clinical Studies



Correlation to NGS, FFPET; Clinical Outcome

Analytical Accuracy: Correlation to NGS

Clinical Outcome

Correlation between Plasma and FFPET



cobas[®] EGFR Test v2 for Use with Plasma



FDA Approvals



Tarceva: 02 June 2016

Tagrisso: 28 September 2016



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- Astra Zeneca
- Genentech
- CDER



Doing now what patients need next