



FDA Industry IVD Roundtable

# **Personalized Medicine Update**

Donna Roscoe, PhD  
FDA/CDRH/OIR

May 30, 2014  
White Oak, MD

# The latest on...

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- Office Reorganization
- Working Groups
- Guidance Documents
- Next Generation Sequencing FAQs



# Convergence of Staff: Molecular Product Review and Policy Analysts

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Deputy Director, Personalized  
Medicine

Elizabeth Mansfield

Personalized Medicine Staff

Molecular Genetics and Pathology  
Devices

Division Director: Reena Philip

Marina Kondratovich  
Zivana Tezak  
Pamela Bradley  
David Litwack

Molecular Pathology  
and Cytopathology  
Yun-Fu Hu

Molecular Genetics  
Branch  
Donna Roscoe

# Ongoing Working Groups

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## Drug-Device Co-Development

- Doubling annually; Developed Tracking process
- Across Centers and Offices; maturing definition of CoDx
- Draft Guidance - In Vitro Companion Diagnostic Devices  
[Final under review]
- Draft Guidance - Principles for Co-development of an In Vitro Companion Diagnostic Device with a Therapeutic Product  
[working title – under review]

# “Me-Too” Companion Diagnostic Working Group

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- Investigating statistical approaches for second generation and new companion diagnostics
- Handling missing data with statistical assumptions very challenging; for example
  - Missing samples
  - Missing trial outcome
  - Missing prevalence based discordance between two tests
- Follow Center Strategic Initiatives - Consideration for opportunities for post-market evaluation

# Investigational IVDs in Rx Trials

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- Subject to the Investigational Device Exemption (IDE) regulation 21 CFR Part 812 ...
- Addressing challenges with two regulatory paths
- Developing risk-assessment basis for SR vs NSR decisions for investigational diagnostics in therapeutic trials
- Pre-submission process for Risk determination requests
- Draft Guidance - Investigational IVD Devices Used in Clinical Investigations of Therapeutic Products  
[working title – final review]

# Reference Material Project with NIST

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- First Reference material (NA12878): well characterized genomic DNA across multiple platforms
- Due to be released within the month

## LDT Guidance

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–currently under administrative review

# Working group – Next Generation Sequencing

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**Need:** GMP manufactured NGS instruments, software and reagents for assay development

**Solution:** Create a regulatory path for next generation sequencing instruments and reagents without specific indications

- **High Throughput Genomic Sequence Analyzer for Clinical Use** Class II -21 CFR 862.2265 (exempt from the premarket notification requirement subject to the limitations in 21 CFR 862.9)
- **Reagents for Molecular Diagnostic Test Systems** Class I -21 CFR 862.3800



# High Throughput Genomic Sequence Analyzer for Clinical Use Class II -21 CFR 862.2265

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The **Labeling** for the instrument system must include descriptions of, and validation on the instrument for:

- **Pre-analytical and analytical reagents**
- The legally marketed analytical **software** that includes sequence alignment and variant calling functions.
- **Specimen type(s) and the type(s) of nucleic acids** (e.g., germline DNA, tumor DNA).
- The **type(s) of sequencing (e.g., targeted sequencing) and sequence variations** (e.g. single nucleotide variants, insertions, deletions)
- The appropriate **read depth** for the sensitivity claimed
- **Various Limitations** that specify the types of sequence variations that the instrument cannot detect with the claimed accuracy and precision (e.g., insertions or deletions larger than a certain size, translocations).
- **Performance Characteristics**

# Working group – Next Generation Sequencing (NGS)

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- Need to develop efficient approaches for analytical validation of NGS / highly multiplexed genetic tests
- Continue to developing regulatory strategies for multiplex assays; particularly cancer panels
- Looking at possible mechanisms to approve IDEs for sites conducting NGS clinical testing for Rx trials
- Supporting database development

# Next Generation Sequencing (NGS) FAQs

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Does clearance of the Illumina MiSeq platform mean any assay run on it is validated?

- No, the MiSeq was not cleared or approved with a specific indication, assays should demonstrate validation for the indication(s).

Can I use the Illumina MiSeq as a reference method for accuracy of my sequencing assay?

- Yes, provided the MiSeq is validated for that allele and includes pre-specified quality metrics.

# Next Generation Sequencing (NGS) FAQs

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If I use NGS in my clinical trial do I still need to provide analytical validation to the FDA?

- Depends. The requirement for FDA to review your analytical validation is dependent on whether an IDE is needed for use of the device in the clinical trial (based on risk/safety) and/or whether CDER/CBER believe a demonstration of analytical validation is necessary to support conclusions from the trial (based on concern over whether study may fail to meet its objectives).

# Looking Forward

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- More hires with necessary expertise
- Experiential Learning Program – Opportunity for Industry and Laboratories to provide FDA reviewers with on site demonstrations of processes
- Several workshops intended to get stakeholder input